

FILED / RECEIVED

SEP 08 2015

EPIQ BANKRUPTCY SOLUTIONS, LLC

Notice Pursuant to Bankruptcy Rule 3001

UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK

In re: Lehman Brothers Holdings Inc.

Case No.: 08-13555 (JMP)

Court ID (Court Use Only) _____

NOTICE OF TRANSFER OF CLAIMS OTHER THAN FOR SECURITY
PURSUANT TO BANKRUPTCY RULE 3001(E)(2)

CLAIMS HAVE BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. §1111(a). Pursuant to Bankruptcy Rule 3001(e)(2), Transferee hereby gives notice of the transfer, other than for security, of the claims referenced herein.

<u>Name of Transferee:</u> Union Bancaire Privée, UBP SA	<u>Name of Transferor:</u> HSBC PRIVATE BANK (SUISSE) SA
<u>Notices to Transferee should be sent to:</u> Union Bancaire Privée, UBP SA Rue du Rhone 96-98 P.O. Box 1320 CH-1211 Geneva 1 Switzerland	<u>Court Record Address of the Transferor:</u> (Court use only)
<u>Name and Address where transferee payments should be sent (if different from above):</u>	<u>Name and Current Address of Transferor:</u> Transferor: HSBC PRIVATE BANK (SUISSE) SA Quai des Bergues 9 - 17 / PO Box 2888 CH - 1211 Geneva1
<u>Amount of Claim Being Transferred:</u> XS0313080896 - USD 65'000 (face amount) XS0324584670 - USD 50'000 (face amount)	NOTE: THIS IS A PARTIAL TRANSFER OF CLAIM ONLY --- SEE ATTACHED EVIDENCE OF TRANSFER FOR DETAILS
<u>Court Claim No. (if known):</u> 51762	
<u>Date Claim Filed:</u>	

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: Union Bancaire Privée, UBP SA

Date: 24th July 2015


Securities Department
Associate


Securities Department
Director

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18 U.S.C. §§ 152 & 3571. As set forth in the attached Evidence of Transfer of Claim, Transferor has waived to the fullest extent permitted by law any notice or right to receive notice of a hearing under Bankruptcy Rule 3001(e).

AGREEMENT AND EVIDENCE OF TRANSFER OF CLAIM
LEHMAN PROGRAM SECURITY

TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, HSBC PRIVATE BANK (SUISSE) S.A., Geneva ("Transferor") unconditionally and irrevocably transferred to Union Bancaire Privée, UBP SA ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. 51762), the nominal amounts and relating securities with International Securities Identification Number listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this AGREEMENT AND EVIDENCE OF TRANSFER OF CLAIM is executed this day of:

Date: 4th August 2015

Date: 24th July 2015

Transferor

Transferee

HSBC PRIVATE BANK (SUISSE) S.A.
Quai des Bergues 9 – 17 / P.O. Box 2888
CH- 1211 Geneva 1

UNION BANCAIRE PRIVÉE

UNION BANCAIRE PRIVÉE, UBP SA
Genève
Rue du Rhône 96-98 | CP 1320 | 1211 Genève 1, Suisse
Tél. +41 58 819 21 11 | Fax +41 58 819 22 00

Signature:  DIRECTOR

Signature: Jean-Baptiste Brand
Associate

Signature: 

Signature: Director

Schedule 1

Lehman Programs Securities Related to Transferred Portion of Claim:

ISIN	Court Claim #	Date Claim Filed	Issuer	Currency and nominal amount or Units
XS0313080896	51762	10/28/2009	LEHMAN BROTHERS SECURITIES NV	USD 65'000.-
XS0324584670	51762	10/28/2009	LEHMAN BROTHERS TREASURY BV	USD 50'000.-

www.dhl.com

EXPRESS WORLDWIDE
DHL EasyShip 5.3.12

DOX **DHL**

FROM: MR. JEAN-BAPTISTE ERATH
96 RUE DU RHONE
1204 GENEVE
SWITZERLAND

SEP 08 2015
EPIQ SOLUTIONS

Origin:
GVA

TO: EPIQ BANKRUPTCY SOLUTIONS, LLC
ATTN: LEHMAN BROTHERS HOLDINGS CLAIMS PROCESSING
757 THIRD AVENUE, 3RD FLOOR
10017 NEW YORK, NEW YORK
UNITED STATES OF AMERICA

US-ZYP

Day Time

Account : 150567912 Shipment Weight : 0.50kg Piece :
Shipment Ref : Date : 2015-09-04 1/1
605

EXPRESS WORLDWIDE **DOX** **DHL**

10017 NEW YORK, United States
JFKL **US-ZYP-TSS**

Origin:
GVA

Day Time

Account No. 150567912 Date: Fee / Shpt Weight Piece
Ref code / 0.50 1/1

Content description:

WAYBILL36 1152 7242

(2L)US10017 + 42000000